



Guide to the Robert E. Lucas Papers, 1960-2004

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Summary

Collection Title:

Robert E. Lucas papers, 1960-2004

Creator:

Lucas, Robert E.

Source:

Economists' Papers Archive

Abstract:

Robert Emerson Lucas, Jr. (1937-2023) was a white American academic economist and Nobel Prize laureate (1995) who was known for developing the hypothesis of "rational expectations." This collection primarily documents his professional life through correspondence, manuscripts, research materials, reports, administrative files, and course materials.

Extent:

27 Linear Feet (47 boxes and one oversize folder.)

96 Megabytes

Language:

Material in English.

Collection ID:

RL.00826

Permalink:

<https://idn.duke.edu/ark:/87924/m1g05h>

Preferred Citation

[Identification of item], Robert E. Lucas papers, David M. Rubenstein Rare Book and Manuscript Library, Duke University.

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Background

Scope and Content

This collection represents the professional work and career of Lucas during his appointments at the Graduate School of Industrial Administration at Carnegie-

Mellon University and the Department of Economics at the University of Chicago. It is arranged into four series: Correspondence, Professional Service, Research, and Teaching.

The Research series contains notes, correspondence, drafts, clippings, reports, and other material related to topics such as business cycles, monetary theory, rational expectations, economic growth, supply side economics, and unemployment.

The Correspondence series contains exchanges with economists and colleagues such as Lucas' collaborators Edward C. Prescott and Thomas Sargent, as well as James Tobin, Neil Wallace, Karl Brunner, David Cass, Edmund S. Phelps, Robert J. Gordon, Robert J. Barro, Leonard A. Rapping, and John B. Taylor. These letters amplify the records in the research files on Lucas' career and research, as well as topics and debates in economics in the 20th century.

In addition to documenting Lucas' work in theoretical economics, the collection also follows his professional activities through files in the Professional Service series. Items relate to his participation on various committees, his editorial and presidential commitments, and his work with institutions such as the American Economic Association, the National Science Foundation, and the National Bureau of Economic Research.

Finally, the Teaching series contains administrative files and course material, such as notes, transparencies, and exam subjects related to Lucas' academic departmental service and teaching career.

Biographical/Historical

Robert Emerson Lucas, Jr. (1937-2023) was a white American academic economist and Nobel Prize laureate who was known for developing the hypothesis of "rational expectations." Lucas was born in Yakima, Washington, in 1937. He attended the University of Chicago, where he received a BA in history in 1959 and PhD in economics in 1964. From 1963-1975, Lucas taught at the Graduate School of Industrial Administration at Carnegie-Mellon University. In 1975, he returned to the University of Chicago, where he was named the John Dewey Distinguished Service Professor in 1980. In 1995, he received the Nobel Memorial Prize in Economic Sciences for his work on macroeconomics. Lucas retired in 2015 and passed away in Chicago in 2023.

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Arrangement

The Robert E. Lucas papers are arranged into five series: Correspondence, Professional Service, Research, Teaching, and Oversize Material.

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Administrative Information

Publication Statement

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Access Restrictions

Access note. Some materials are electronic records that require special equipment. Contact Research Services with questions.

Acquisition Information

The Robert E. Lucas papers were received by the David M. Rubenstein Rare Book and Manuscript Library as gifts in 2004 and 2014.

Processing Information

Processed by Danilo Silva and Chris Payne, August 2010; Hyeeyoung Kim, September 2017.

Electronic records processed by Zachary Tumlin, June 2023.

Accessions described in this collection guide: 2005-0063 and 2014-0123.

Use Restrictions

The copyright interests in this collection have not been transferred to Duke University. For more information, consult the Rubenstein Library's Citations, Permissions, and Copyright guide.

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Names and Subjects

- Business cycles
- Economists -- United States -- Correspondence
- electronic records (digital records)
- Fiscal policy
- Keynesian economics
- Macroeconomics
- Monetary policy
- Nobel Prize winners
- Rational expectations (Economic theory)
- Supply-side economics
- Unemployment
- Carnegie-Mellon University. Graduate School of Industrial Administration -- Faculty
- Economists' Papers Archive
- Lucas, Robert E.
- Prescott, Edward C.
- Sargent, Thomas J.
- University of Chicago. Department of Economics -- Faculty

Collection Inventory

Correspondence, 1963-1998

Scope and Contents

Primarily letters between Lucas and his colleagues on research issues. Organized into two subseries: Correspondence by Date and Correspondence by Subject.

Correspondence by Date, 1963-1998

Scope and Contents

Lucas' exchanges with professional colleagues on research matters, including comments on the work of other economists, debates on current issues, recommendations, and advising exchanges. Among his most frequent correspondents are his collaborators Edward C. Prescott and Thomas Sargent, from the early days at the Graduate School of Industrial Administration at Carnegie-Mellon University (1963-1974), to the most recent years at the Department of Economics of the University of Chicago (1990s). Major twentieth-century economists such as James Tobin, Neil Wallace, Karl Brunner, David Cass, Edmund S. Phelps, Robert J. Gordon, Robert J. Barro, Leonard A. Rapping and John B. Taylor, among others, are represented by smaller amounts of correspondence. Chronologically filed by Lucas by year.

1963-1968	Box 1
Physical Description: 5 folders	
1969-1971	Box 1A
Physical Description: 4 folders	
1972-1975	Box 2
Physical Description: 7 folders	
1976-1978	Box 3
Physical Description: 8 folders	
1979-1981	Box 4
Physical Description: 9 folders	
1982-1984	Box 5
Physical Description: 6 folders	
1985-1987	Box 6
Physical Description: 5 folders	
1987-1988	Box 6A
Physical Description: 5 folders	
1989-1992	Box 7
Physical Description: 10 folders	
1993-1995	Box 8
Physical Description: 7 folders	

1996-1998	Box 9
Physical Description: 6 folders	
1999-2004	Box 39
Physical Description: 6 folders Contains digital media: 1 CD-R.	
2006-2011	Box 39
Physical Description: 2 folders	
Undated	Box 39

Correspondence by Subject, 1976-1989

Scope and Contents

Small subseries that includes correspondence on specific subjects, much of it routine, which Lucas filed separately from his general correspondence files. Arranged alphabetically by last name or subject.

Meunich, Polemarchis, and Weiss, 1976	Box 9
Requests and visits to give lectures, 1976-1986	Box 9
Taxes correspondence, 1989	Box 9

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Professional Service, 1971-2004

Scope and Contents

Material concerning Lucas' connections to organizations involved with both the direction and funding of the discipline, and to other professional associations. Includes budget and committee meetings, correspondence, editorial and presidential duties, conference proceedings, and peer reviews written by Lucas for journal submissions. Arranged alphabetically by last name or subject.

American Economic Association (AEA) Commission on Graduate Education, 1991	Box 10
AEA, Committee and budget report, 2001-2003	Box 10
Physical Description: 3 folders	

AEA, Correspondence	Box 10
Physical Description: 3 folders	
AEA, Executive committee on the status of Minorities in the Economics Profession, 1981, 1981	Box 39
AEA, Journal of Political Economy editorship, 2001-2002	Box 10
AEA, Meeting, 2002	Box 10
AEA, Meeting, unemployment, 1977	Box 10
AEA, Papers and Proceedings Committee, 2007	Box 39
AEA, Presidential address, 2001-2002	Box 10
Physical Description: 2 folders	
AEA, Presidential reception, 2002-2003	Box 10
AEA, Statistics committee, 2002	Box 11
AEA, Status of women in the profession, 2001-2003	Box 11
Association of Chinese-American Scientists and Engineers, 1999-2009, 1999-2009	Box 39
Bank of Sweden Prize, 1999-2009, 1999-2009	Box 39
Bureau of the Budget, 1966-1967, 1966-1967	Box 39
Econometric Society, 1990, 1997-1998	Box 11
Econometric Society, 2000 World Congress, 2000	Box 11
Historical Society, 2002	Box 11
Journal of Economic Theory, 1971-1978	Box 11
Physical Description: 5 folders	
Mitchell Hutchins conference, 1977, 1977-1979	Box 39
Modigliani Committee, 1974-1975, 1974-1975	Box 39

National Academy of Sciences, 1981-1982, 2004, undated	Box 12
Physical Description: 2 folders	
National Science Foundation, 1981	Box 12
NSF panel, 1974-1975, 1974-1975	Box 40
Physical Description: 5 folders	
NSF proposals, 1975-1979, 1975-1979	Box 40 Box 12
Physical Description: 3 folders	
National Bureau of Economic Research conference, 1981	Box 12
NU workshop, 1994, 1994	Box 41
Personal professional files, 1975-2010, 1975-2010	Box 41
Review of papers for journals, 1971-1979	Box 12
Physical Description: 4 folders	
Tobin venture - National Association for Business Economics, 1976-1986	Box 12
Physical Description: 2 folders	

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Research, 1960-2000

Access Restrictions:

Access note. Some materials are electronic records that require special equipment. Contact Research Services with questions.

Scope and Contents

Contains extensive documentation related to Lucas' research and to the writing of his articles, papers, or book-length works. The series is divided into ten subseries that describe the wide range of his research and which are listed alphabetically: Business Cycles, Growth and Development, International Economics, Investment, Labor and Unemployment, Miscellaneous Subject Files, Monetary Theory, Prices, Rational Expectations, and Supply-Side Economics. It includes drafts, notes, comments and different versions of his papers, articles and books, with an emphasis on monetary theory, business cycles and

growth. The series is further enhanced by the frequent presence of related correspondence between Lucas and his coauthors or commentators, as well as printed materials (such as reports, clippings, reprints, and journal articles) and writings by others, both published and unpublished, related to his research.

Business Cycles

Scope and Contents

Arranged alphabetically.

"An equilibrium model of the business cycle," 1974	Box 13
Barro, Robert, 1974, 2000, undated	Box 13
Business cycle modeling, 1970s	Box 13
Cycle notes, 1994	Box 13
Directions of macroeconomics, 1979	Box 13
Equilibrium cycle, undated	Box 13
Lecture notes, 1979-1980	Box 13
Linear economy, undated	Box 13
Lucas problem, undated	Box 13
"Methods and problems in business cycle theory," 1980	Box 13
Models of business cycles, 1985-1987	Box 13
Output inflation tradeoffs, 1973	Box 14
Studies in business cycles, undated	Box 14
Time to build, 1980-1981	Box 14
"Understanding business cycles," 1976-1979	Box 14
Physical Description: 2 folders	
Various articles, 1980s	Box 14

Growth and Development

Scope and Contents

Arranged alphabetically.

Capital flow from rich to poor countries, 1989-1990	Box 14
Physical Description: 3 folders	
Development drafts, undated	Box 14
Human capital, 1990, undated	Box 14
Physical Description: 2 folders	
Idea Flows, Economic Growth, and Trade, 2012	Box 41
Knowledge Growth and the Allocation of Time, 2011	Box 41
Physical Description: 2 folders	
Learning and growth, undated	Box 14
Making a miracle, 1991-1993	Box 14
Physical Description: 2 folders	
Optimal growth with many consumers, 1982	Box 15
Physical Description: 3 folders	
"On the mechanics of economic development" , 1985 (online item available)	Box 15
Digital Object: "On the mechanics of economic development", 1985	
"On the mechanics of economic development," 1985-1987 (online item available)	Box 15
Digital Object: "On the mechanics of economic development", 1985-1987	
"On the mechanics of economic development" , circa 1985-1988 (online item available)	Box 15
Digital Object: "On the mechanics of economic development", circa 1985-1988	
"On the mechanics of economic development" , 1987-1988 (online item available)	Box 15
Digital Object: "On the mechanics of economic development", 1987-1988	

"On the mechanics of economic development" , 1987-1990 (online item available)	Box 15
Digital Object: "On the mechanics of economic development", 1987-1990	
Rosen proposal, undated	Box 15
Sources of growth, 1990	Box 15
Substitution and growth, 1975	Box 15
Towards full employment and price stability, 1978	Box 16
Trade and the Diffusion of the Industrial Revolution, 2008	Box 41
What Was the Industrial Revolution?, 2007	Box 41
World development indicators, 1983	Box 16
World development report, 1991	Box 16
Physical Description: 2 folders	
World real interest rates, 1990	Box 16

International Economics

Scope and Contents

Arranged alphabetically.

Asian financial crisis, 1999	Box 16
Exchange rate regimes, 1980	Box 16
Floating exchange rates, 1988	Box 16
"Interest rates and currency prices in a two- country world," 1980s	Box 16
Physical Description: 1 of 3 folders	
"Interest rates and currency prices in a two- country world," 1980s	Box 17
Physical Description: 2-3 of 3 folders	
Trade, undated	Box 17

Investment

Scope and Contents

Arranged alphabetically.

Distributed lags and optimal investment policy, 1965	Box 17
Firm size, 1970s	Box 17
"Investment under uncertainty," 1968-1969	Box 17
"On the size distribution of business firms," 1977-1978	Box 17

Physical Description: 3 folders

Labor and Unemployment

Scope and Contents

Arranged alphabetically.

Equilibrium search and employment, 1970s	Box 18
"Expectations and the neutrality of money," 1969-1970	Box 18
"International evidence of output-inflation trade off," undated	Box 18
Labour supply, undated	Box 18
Physical Description: 2 folders	
"Real wages, employment and inflation," 1960s	Box 18
"Towards full employment and price stability" , 1970s	Box 18
Unemployment insurance, 1990s	Box 18
Physical Description: 2 folders	
Unemployment policy, undated	Box 18

Miscellaneous Subject Files

Access Restrictions:

Access note. Some materials are electronic records that require special equipment. Contact Research Services with questions.

Scope and Contents

Arranged alphabetically.

"After Keynesian macroeconomics," 1977-1978	Box 19
Physical Description: 3 folders	
"Capacity, overtime and investment," 1969	Box 19
Core, 1980	Box 19
Costs of inflation, 1981	Box 19
Crisis, 2009	Box 42
Development, undated	Box 19A
Dominant firm model, 1966	Box 19A
Drafts, undated	Box 19A
Duality, undated	Box 19A
Dynamic competitive analysis, 1977	Box 19A
Edward Prescott papers, 1974-1976, 1974-1976	Box 42
Efficient allocations, 1990	Box 19A
Efficient distribution, 1991	Box 20
"Equilibrium search and unemployment," 1973	Box 20
Goldberg Appeal, 1997, 1997	Box 42
Inflation and price controls, 1974	Box 20
Internal structure of cities, 1999-2012, 1999-2012	Box 42
Japan--Money	Box 42
Physical Description: 2 folders	

Letters, 1960-1970 (online item available)	Box 20
Digital Object: Letters, 1960-1970	
Loose papers, 1976-1977, 1992	Box 20
Lucas-Prescott article and notes, 1971, undated (online item available)	Box 20
Digital Object: Lucas-Prescott article and notes	
Matching, 1975	Box 20
Menu costs and Phillips curves, 2005, 2005	Box 42
Physical Description: 3 folders	
MIT notes, 1978	Box 20
Notes, 1970s (online item available)	Box 20
Physical Description: 2 folders	
Digital Object: Notes, 1970s	
Notes on the Leiderman workshop, 1988	Box 20
NY Times columns, 1981	Box 20
Optimal policy, 1982	Box 21
Price systems, 1970s	Box 21
"Principles of fiscal and monetary policy" , 1984-1985	Box 21
Random earnings differences, 1990-1991	Box 21
Recursive competitive equilibrium, 1982	Box 21
Review of Skidelsky volumes on Keynes, 1994	Box 21
"Rules, discretion and the role of the economic advisor," 1978	Box 21
Scalator clauses, 1976-1977	Box 22
Schoonmaker, 1968 (online item available)	Box 22
Digital Object: Schoonmaker, 1968	
Stanford, 1976	Box 22

Thesis topics, 1977	Box 22
Weiss problem, 1983	Box 22
World Information Foundation Electronic Files, 1998-2001	Digital-materials RL00826- SET-0001

Physical Description: 625 files; approximately 100 megabytes.

Scope and Contents

Text and image files; includes information about World Information Foundation (WIF), Open Research Establishment (ORE), and Scientific Discovery Newsletter from 1991-2001.

Monetary Theory

Scope and Contents

Arranged alphabetically.

Carnegie-Mellon notes, 1976	Box 22
Cash-in-advance economy, 1976	Box 22
Collected papers on Monetary Theory, 2011, 2011	Box 43
Columbia notes, 1978	Box 22
Diamond inefficiency, 1977	Box 22
"Equilibrium in a pure currency economy," 1978	Box 22
Physical Description: 3 folders	
Federal Reserve Bank of St. Louis, 1996-1997	Box 22
Fluctuations, 1981	Box 23
Historical quantity of money, undated	Box 23
Labor hoarding, 1988	Box 23
Liquidity and interest rates, 1988	Box 23
Physical Description: 2 folders	
Liquidity effect, 1981	Box 23

Management conference, 1979	Box 23
Money demand in the U.S., 1987-1989	Box 23
Monetary shocks with prices set in advance, 1989-1990	Box 23
Monetary transmission mechanism, 1983	Box 23
Money, 1975-1977	Box 23
Physical Description: 2 folders	
Money and finance, 1983	Box 24
Money in a theory of finance, 1983	Box 24
Note on Wallace reformulation, liquidity and interest rates, 1988	Box 24
Notes on monetary theory, 1977	Box 24
Physical Description: 4 folders	
On the Stability of Money Demand, 2011, 2011	Box 43
Open market, 1980s	Box 24
Optimal fiscal and monetary policy, 1983	Box 24
Price fixing, 1979	Box 24
Project on monetary design, 1980	Box 25
Quantity theory of money, 1978-1980	Box 25
Physical Description: 2 folders	
Real effects of monetary shocks, 1992-1994	Box 25
Physical Description: 4 folders	
Reflections on contemporary macroeconomics, 1980	Box 25
Physical Description: 1 of 2 folders	
Reflections on contemporary macroeconomics, 1980	Box 26
Physical Description: 2 of 2 folders	

Topics on monetary theory, 1990	Box 26
Transactions, 1988	Box 26
"Two illustrations of the quantity theory of money," 1979	Box 26

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Scope and Contents

Arranged alphabetically.

"A note on price systems in infinite dimensional space," 1968-1970	Box 26
Physical Description: 2 folders	
Asset prices, 1989	Box 26
"Asset prices in an exchange economy," 1970s	Box 26
Fixing prices, 1990	Box 26
Price fixing, 1979	Box 26
Physical Description: 1 of 2 folders	
Price fixing, 1979	Box 27
Physical Description: 2 of 2 folders	

Rational Expectations

Scope and Contents

Arranged alphabetically.

Adaptive behavior, 1985-1986	Box 27
Physical Description: 2 folders	
Budget balance, 1977	Box 27
Economic practice, 1979	Box 27
Miscellaneous, 1974	Box 27
Optimal investment with rational expectations, 1968	Box 27
Santa Clara symposium, 1979-1980	Box 27

UCLA, 1980 Box 27

Supply-Side Economics

Scope and Contents

Arranged alphabetically.

Efficient allocation, 1990s Box 28

"Efficiency and equality in a simple model of efficient unemployment insurance," 1993 Box 28

"On efficiency and distribution," 1991 Box 28

On efficiency and distribution with private information, 1992 Box 28

Supply side economics, 1990 Box 28

"Supply side economics: an analytical review," 1989 Box 28

Taxes calculations, 1989 Box 28

Taxes notes, 1989 Box 28

Oversize Material

Financial sections, Christian Science Monitor, 1977 April Box 28 > Folder 1

Physical Description: 5 items

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Teaching, 1965-circa 1999

Scope and Contents

Organized into two subseries: Administrative Files and Course Material. Administrative Files chiefly consists of letters of recommendation/reference and departmental reports. Course Material contains course notes, transparencies, comments on students' work, and exam subjects.

Administrative Files, 1965-circa 1999 and undated

Scope and Contents

Arranged alphabetically.

Budget committee report, 1969	Box 29
Budget data - Carnegie-Mellon, 1968-1970	Box 29
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Budget general, 1969	Box 29
Budget report 1965-1969, 1968-1969	Box 29
Center for Advanced Studies in Economics and Quantitative Methods, proposals, undated	Box 43
Committee on academic tenure, 1971-1972	Box 29
Committee on Departmental Admin, 1984, 1984	Box 43
Dean search committee, 2006, 2006	Box 43
Department budget data, 1986-1988, 1986-1988	Box 43
Departmental matters, 1975-1983	Box 29
Physical Description: 1-4 of 5 folders	
Departmental matters, 1975-1983	Box 30
Physical Description: 5 of 5 folders	
Earhart Foundation, 1974	Box 30
Economics at Chicago, 1975-1977	Box 30
Edward C. Prescott, 1982	Box 30
Faculty business, 1978-1980, 1978-1980	Box 43
Harberger party, 1991	Box 30
Letters of recommendation, 1979-1980	Box 30
Physical Description: 2 folders	
Letters of reference, 1967-1974	Box 30

Macro syllabus, 1994	Box 30
Policy committee, undated	Box 30
Physical Description: 1 of 2 folders	
Policy committee, undated	Box 31
Physical Description: 2 of 2 folders	
Recruiting 2000, circa 1999	Box 31
Rosen committee, 1980-1981, 1980-1981	Box 43
Space, 1979	Box 31
Teaching evaluation, 1972	Box 31
Tuition data, 1973	Box 31
Tuition changes, undated	Box 31
Physical Description: 2 folders	
UC committee, undated	Box 31

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Scope and Contents

Arranged alphabetically.

1986-1987, 1986-1987	Box 43
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Advanced theoretical economics, 1986-1993	Box 31
Applied econometrics, 1974	Box 31
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Physical Description: 2 folders	
Case studies in economic development, 1983, 1983	Box 43
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CORE exams, 1989-1996, 1989-1996	Box 43

Dynamic systems sets, 1982-1985	Box 32
ECON 335 and 337, 1977-1993, 1977-1993	Box 43
Economic development, 1984	Box 32
Economic growth, 1980	Box 32
Empirical growth, 1977-1978	Box 32
Equilibrium dynamics, 1991-1992	Box 32
Physical Description: 2 folders	
Fifth semester exam, 1970	Box 32
Fiscal, money and macro, 1990	Box 32
Fiscal and monetary policy, 1997	Box 32
G. Brenner notes, 1978	Box 32
Graduate student papers, 1979-1990	Box 33
Physical Description: 9 of 13 folders	
Graduate student papers, 1996-1998	Box 34
Physical Description: 10-13 of 13 folders	
Income, 1991-1995 and 1997	Box 34
Physical Description: 2 folders	
Income, asset pricing, 1990-1994	Box 34
Income, macro, 1997-1998	Box 35
Income, macro development, 1984-1988	Box 35
International trade and economic growth, 2001-2009, 2001-2009	Box 44
Physical Description: 2 folders	
Labor markets, 1978-1984	Box 35
Linear dynamics, 1977-1982	Box 35
Macro, 1989-2000, 1989-2000	Box 44

	Box 35
Physical Description: 4 folders ; 3 folders	
Macro problems, 1977-1979 and undated	Box 35
Macro problems and draft textbook, 1967-1973 and undated	Box 36
Mathematics for economics, 1979-1993 and undated, 1979-1993	Box 44
Markov process probability, 1993	Box 36
Mathematical economics, 1971-1973	Box 36
Mathematical review, 1970	Box 36
Micro, macro, 1969	Box 36
Miscellaneous topics, 1995-1996	Box 36
Monetary theory, 1978-1981 and 1983	Box 36
Physical Description: 2 folders	
Money and banking, 1990-1994	Box 36
Physical Description: 1-2 of 3 folders	
Money and banking, 1990-1994	Box 37
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Neoclassical growth, 1976-1981	Box 37
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Probability lecture notes, 1965	Box 37
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Reading lists, 1975-1983	Box 38

Resource allocation, 1968	Box 38
Recursive methods, undated	Box 38
Special topics in monetary theory, 1989	Box 38
Student papers, 1984 and undated	Box 38
Physical Description: 2 folders	
Syllabi, 1983-1984	Box 38
Theory of income, 2001, 2001	Box 44
Time series problem, 1978	Box 38
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Trade and growth, public finance, 1978-1981	Box 38

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Oversize Material

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